

SCE's PARTNERSHIP PILOT PRESCREENING APPLICATION

Instructions: Fill out the information below and provide additional attachment(s) for each Distributed Energy Resource as directed. Please submit a separate application for each Distributed Energy Resource you are applying for. Submit the application package to PartnershipPilot@sce.com.

The prescreening process is not a commitment to offset megawatts (MW) load, pricing, or penalties. At this point, the aggregator has not submitted a reservation offer or executed a contract with SCE. The prescreening process is the path in which aggregators can become prequalified to participate in the pilot.

Company / Applicant Name:		Applicant Representative Completing this Application:	
Parent Company Name (if applicable):		SCE Class A Affiliate? <input type="checkbox"/> Yes <input type="checkbox"/> No	
Company Description (must be 435 characters or less including spaces/characters). <i>The description will be displayed on the Partnership Pilot website if deemed eligible.</i>			
Website:	Telephone:	Email (<i>This is the email that customers will be directed to if they choose to Opt-in to being contacted</i>):	
Which Distributed Energy Resource are you applying for (<i>Must be incremental</i>)? (Check One Only):			
<input type="checkbox"/> Distributed Generation (DG)		<input type="checkbox"/> Demand Response (Non-Energy Storage)	
<input type="checkbox"/> DG + Energy Storage (<i>Both DG & ES must be incremental</i>)		<input type="checkbox"/> Demand Response - Energy Storage	
<input type="checkbox"/> Permanent Load Shift -Battery Energy Storage		<input type="checkbox"/> Energy Efficiency	
<input type="checkbox"/> Permanent Load Shift -Thermal Energy Storage		<input type="checkbox"/> Other _____	
SCE Incentive Programs or Special Tariffs you are planning on using (<i>this information is used only for the purpose of determining incrementality</i>):			
<input type="checkbox"/> Net Energy Metering (NEM)			
<input type="checkbox"/> Self-Generation Incentive Program (SGIP)			
<input type="checkbox"/> Energy Efficiency Incentive Program			
<input type="checkbox"/> Other _____			

EXPERIENCE



Provide a Letter of Experience that includes the following information and attach to this application:

- 1) experience describing how the company implemented/completed at least one project for the DER resource selected above; and/or
- 2) experience describing how at least one member of the development team has completed a project for the DER resource selected above.

Project size/capacity must be described in the Letter of Experience.

CYBERSECURITY



<p>Do you acknowledge and agree to adopt Southern California Edison's (SCE) information security, cybersecurity, and privacy requirements, as shown below?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>
<p>Applicant acknowledges it will be required to adopt cybersecurity and data security contractual provisions should Applicant be the successful entity to deliver solution(s) to deferral resource needs. In summary, those provisions require SCE vendors to: (i) implement and maintain appropriate measures to protect its electronic network and systems from Cyber Incidents that could make Edison's Computing Systems vulnerable to unauthorized access or use and to protect Edison Data in its possession, custody, or control from accidental or unauthorized access, acquisition, disclosure, use, modification, loss, damage, or destruction; (ii) regularly review and revise those measures to address new or ongoing risks and to implement industry best practices and legal requirements regarding cybersecurity and privacy; and (iii) to cooperate with Edison in its efforts to minimize risks to Edison's Computing Systems and Edison Data and reduce the impact of any unauthorized access to the Edison's Computing Systems, or disclosure or unauthorized use of Edison Data.</p> <p>More detailed requirements will be outlined during the contracting process.</p> <div style="text-align: right; margin-top: 20px;"> <div style="border: 1px solid black; padding: 5px; display: inline-block;">Initial here:</div> </div>	

COMMERCIAL/FINANCIAL

P/F

<p>The Legal Entity that will be the Applicant attests that it and/or its parent company is not Bankrupt and there are no proceedings pending or being contemplated by either entity or, to either entity’s knowledge, threatened against the Applicant and/or its Parent which could result in either entity being or becoming Bankrupt. If the response to the question is “No”, the Applicant may attach a document explaining the circumstance around the bankruptcy situation. SCE will review the documentation on a case by case basis and will make a decision based on available facts. SCE’s review does not ensure that the Applicant will pass this criterion.</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>The Legal Entity that will be the Applicant attests that it and/or its parent company has not terminated a contract (either mutual termination or otherwise) with any California electric utility, prior to commercial operation date, with any portion of the financial security retained by any California electric utility more than once within the previous 36 months. If the response to the question is “No”, the Applicant may attach a document explaining the circumstance around the contract termination(s). SCE will review the documentation on a case-by-case basis and will make a decision based on available facts. SCE’s review does not ensure that the Applicant will pass this criterion.</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>

TECHNOLOGY

P/F

Provide and attach documentation that describes the proposed technical solution(s) including photos and/or schematics as applicable for SCE’s review. Please describe if and how the proposed technical solution(s)

1) adheres to the incrementality framework defined in Ordering Paragraph 10 and Attachment A Section 2.8 of Decision 21-02-006; and

2) is a proven, commercially available technology (not in experimental, research, demonstration, or development stages).

<p>For interconnected Distributed Energy Resource (DER) Only. This includes the following: Demand Response - Energy Storage, Distributed Generation (DG), DG + Energy Storage or Permanent Load Shift - Battery Energy Storage.</p> <p>Does the applicant acknowledge that they will be required to be tested and certified as an aggregator through SunSpec’s Common Smart Inverter Profile (CSIP) certification process (https://sunspec.org/2030-5-csip/) for DER Management System (DERMS) IEEE 2030.5 communications. <u>Note that CSIP certification is not required at prescreening.</u></p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A</p>
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<p>For dispatchable Distributed Energy Resource (Demand Response) Only: <i>Note: Demand Response is the only dispatchable product/technical solution.</i> INFORMATIONAL ONLY, NOT RATED AS PASS/FAIL</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
<p>Is the DER able to respond to a 15-minute dispatch notice to curtail load (dependent upon the deferral project needs)?</p>	

SAFETY

P/F

<p>Do you attest that a safety plan will be in place and followed for safe construction, installation, and operation of the project(s)? Prior to commencement of any construction activities on the Site, safety plans will need to be reviewed by an independent engineer and documented in a report.</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No
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APPLICANT’S ACKNOWLEDGEMENTS; WAIVERS AND RESERVATION OF RIGHTS; REPRESENTATIONS, WARRANTIES AND COVENANTS.

By submitting an application to Southern California Edison (SCE) for the Partnership Pilot Prescreening, the Applicant acknowledges the following:

1. SCE’s Rights.

SCE reserves the right to modify any aspects specified as part of Prescreening, or to modify or discontinue this Partnership Pilot solicitation, in its sole discretion and at any time without notice and without assigning any reasons and without liability of Edison International, SCE, or any of their respective subsidiaries, affiliates, or representatives. SCE also reserves the right to select no applications as an outcome of: (i) this Prescreening and/or (ii) an evaluation of any circuit or substation described herein.

2. SCE’s Acceptance of Applications.

SCE will not be deemed to have accepted any application, and will not be bound by any term thereof, unless and until authorized representatives of SCE and Seller execute and deliver a Final Agreement and other required agreements. Any contracts executed pursuant to this Partnership Pilot solicitation will be subject to California Public Utilities Commission (“CPUC”) approval.

3. Expenses.

While SCE will not charge a prescreening application fee, each participant will be responsible for all expenses incurred as a result of its participation in prescreening. In the event the prescreening is terminated by SCE for any reason, each participant will remain responsible for all expenses incurred by such participant as a result of its participation in the prescreening. SCE does not provide any assurance that incentive programs will be available.

4. Affidavit.

Signing this application serves as an affidavit stating that the Applicant and/or its parent company i) is not Bankrupt and there are no proceedings pending or being contemplated by either entity or, to either entity's knowledge, threatened against the Applicant and/or its Parent which could result in either entity being or becoming Bankrupt and ii) has not terminated a contract (either mutual termination or otherwise) with any California electric utility, prior to commercial operation date, with any portion of the financial security retained by any California electric utility more than once within the previous 36 months.

5. Company Logo.

By signing this application, the Applicant grants explicit permission for SCE to display the Applicant's logo on this website if the Applicant's prescreening application is approved.

6. Data Collection

If SCE has deemed the Applicant to be an Authorized Energy Solution Provider and eligible to participate in the Partnership Pilot, by signing this application, the Applicant agrees to collect data and participate in an Aggregator survey regarding the following areas for the Partnership Pilot and provide it to the Utilities as it becomes available or at the request of the Utilities for purposes of completing the CPUC required Evaluation Criteria analysis and reporting:

- Customer Attrition and Experience
 - Total number of customers enrolled for each project, including date of enrollment
 - Number and percentage of customers that have unenrolled, including date and reason broken into categories, if possible
- Ratable Procurement
 - Aggregator survey conducted by a third party to determine the aggregators' preference for ratable procurement versus procuring for the entire need at once
- Marketing Partnership
 - Aggregator survey conducted by a third-party

7. Waived Claims.

By submitting an application, the Applicant knowingly, voluntarily, and completely waives any rights under statute, regulation, state or federal constitution or common law to assert any claim, complaint or other challenge in any regulatory, judicial or other forum, including without limitation, the CPUC (except as expressly provided below), the Federal Energy Regulatory Commission ("FERC"), the Superior Court of the State of California ("State Court") or any United States District

Court (“Federal Court”) concerning or related in any way to this prescreening and Partnership Pilot solicitation including all documents incorporated by reference therein and exhibits, attachments, and appendices attached thereto (“Waived Claims”).

Applicant further expressly acknowledges and consents that if it asserts any Waived Claim at the CPUC, FERC, State Court or Federal Court, or otherwise in any forum, to the extent that Applicant’s application has not already been disqualified, SCE is entitled to automatically disqualify any such application from further consideration in the prescreening, the Partnership Pilot solicitation, or otherwise, and further, SCE may elect to terminate the prescreening.

By submitting an application, Applicant further agrees that the sole forum in which Applicant may assert any challenge with respect to the conduct or results of the prescreening is at the CPUC.

Note also that each Applicant that passes the prescreening process and is deemed eligible to participate in the program may be contacted to provide an electronic copy of its company logo to be placed on SCE’s Partnership Pilot website. All necessary internal approvals must be completed to ensure timely delivery of the logo.

SIGNATURE SECTION

Under penalty of perjury, I declare that the information provided in this application and attachments to the best of my knowledge are true, correct, and complete. If after review or audit of the application, it is determined that false information was provided, SCE has the right to reject the prescreening application.

Applicant Representative Signature

Title

Date

APPLICATION PACKAGE CHECKLIST

Use this checklist to help you gather what you need before submitting your application package.

- Completed and signed Partnership Pilot Prescreening Form
- Letter of Experience
- Documentation that describes the proposed technical solution including photos and/or schematics as applicable.
- Documentation describing how the proposed technical solution adheres to the incrementality framework defined in Ordering Paragraph 10 and Attachment A Section 2.8 of Decision 21-02-006.
- Documentation describing how the proposed technical solution is a proven, commercially available technology (not in experimental, research, demonstration, or development stages).
- Documentation explaining the circumstance around the bankruptcy situation. If Applicable Only (see details in Commercial/Financial section above).
- Documentation explaining the circumstance around the contract termination(s). If Applicable Only (see details in Commercial/Financial section above).

PARTNERHIP PILOT PRESCREENING PROCESS

SCE will use a Pass/Fail scoring system. This approach ensures a fair and equitable assessment of applicants and includes the minimum provider viability requirements needed while maintaining technology neutrality and not inhibiting new market entrants' viability.

The prescreening includes four key areas:

- 1) Experience
- 2) Commercial/Financial
- 3) Technology; and
- 4) Safety

The evaluation of these key areas is outlined as follows:

EXPERIENCE

This section focuses on two categories: Experience and Cybersecurity.

A company must demonstrate that it has implemented/completed at least one project for one or more of DER resources identified in this application; and/or at least one member that will be on the development team has completed at least one project for one or more of the DER resources identified in this application. Experience in multiple DER resource types is not required. Project capacity must be described in the Letter of Experience but will not be scored. Those that do not have any experience, will receive a failing score in the Experience category.

Another important aspect of assessing a company's experience is through their Cybersecurity infrastructure (at a high level).

The Applicant will need to acknowledge awareness and agree to adopt SCE's information security, cybersecurity, and privacy obligations in order to contract with SCE. This will be scored as a Pass / Fail.

COMMERCIAL/FINANCIAL

This category will look at bankruptcy and contract terminations. More specifically, the Legal Entity that will be the Applicant will attest that it and/or its Parent is not Bankrupt and there are no proceedings pending or being contemplated by either entity or, to either entity's knowledge, threatened against the Applicant and/or its Parent which would result in either entity being or becoming Bankrupt. This will be scored as a Pass / Fail.

If the Applicant is not able to attest and provide a "Yes" response to the bankruptcy criteria, the Applicant has an opportunity to provide a document explaining the circumstance around the bankruptcy situation. SCE will review the documentation on a case-by-case basis and will make a decision based on available facts. SCE's review does not ensure that the Applicant will pass this criterion.

Additionally, this category looks at whether the Legal Entity that will be the Applicant and/or its parent company has not terminated a contract (either mutual termination or otherwise) with any California electric utility, prior to commercial operation date, with any portion of the financial

security retained by any California electric utility more than once within the previous 36 months. This will be scored as a Pass / Fail.

If the Applicant is not able to attest and provide a “Yes” response to the contract termination criteria, the Applicant may attach a document explaining the circumstance around the contract termination(s). SCE will review the documentation on a case-by-case basis and will make a decision based on available facts. SCE’s review does not ensure that the Applicant will pass this criterion.

TECHNOLOGY

The proposed technical solution(s) documentation will be reviewed to determine if the technology is a proven, commercially available technology (not in experimental, research, demonstration, or development stages). It will also be reviewed to determine whether the technical solution(s) adhere to the incrementality framework as defined in Ordering Paragraph 10 and Attachment A Section 2.8 of Decision 21-02-006.

Technologies that are considered proven, commercially available technology (not in experimental, research, demonstration, or development stages) and will provide incremental capacity per Ordering Paragraph 10 and Attachment A Section 2.8 of Decision 21-02-006 will receive a passing score. Both measures must be met in order to receive a passing score for this criterion

Technologies that do not demonstrate a proven, commercially available technology (not in experimental, research, demonstration, or development stages) and will not provide incremental capacity per Ordering Paragraph 10 and Attachment A Section 2.8 of Decision 21-02-006 will receive a Failing score. Additionally, if only one of the measures is passed, the score will be a fail for this criterion.

For interconnected Distributed Energy Resource (DER) (including Demand Response - Energy Storage, Distributed Generation (DG), DG + Energy Storage or Permanent Load Shift -Battery Energy Storage), the Applicant will need to acknowledge that they will be required to have been tested and certified as an aggregator through SunSpec’s Common Smart Inverter Profile (CSIP) certification process (<https://sunspec.org/2030-5-csip/>) for DERMS IEEE 2030.5 communications. Note that CSIP certification is not required at prescreening. This will be scored as a Pass / Fail.

PASS / FAIL SCORING

P/F

A Pass/Fail scoring system operates on the idea that the Applicant simply earns credit(s) depending on whether the prescreening criteria was satisfactorily met.

OVERALL SCORING

If a failing score is given for a criterion within any category or subcategory, your Overall Scoring will result in a failure to pass the prescreening process.

EXPERIENCE	ASSIGNED SCORE
<p>By Technology Type:</p> <ol style="list-style-type: none"> 1) A company must demonstrate that it has implemented/completed at least one project for one or more of DER resource identified in this application; AND/OR 2) At least one member that will be on the development team has completed at least one project for one or more of the DER resource identified in this application. <p>Project capacity will not be scored.</p>	Pass
<ol style="list-style-type: none"> 1) A company does NOT demonstrate that it has implemented/completed at least one project for one or more of DER resource identified in this application; AND/OR 2) No member of development team has completed at least one project for one or more of the DER resource identified in this application. <p>Project capacity will not be scored.</p>	Fail

CYBERSECURITY	ASSIGNED SCORE
Initial and complete Acknowledgement to agree to adopt SCE's information security, cybersecurity, and privacy requirements.	Pass
No Acknowledgement to agree to adopt SCE's information security, cybersecurity, and privacy requirements.	Fail

COMMERCIAL/FINANCIAL	ASSIGNED SCORE
<p>The Legal Entity that will be the Applicant attest that it and/or its Parent is not Bankrupt and there are no proceedings pending or being contemplated by either entity or, to either entity's knowledge, threatened against the Applicant and/or its Parent which would result in either entity being or becoming Bankrupt.</p>	<p>Pass</p>
<p>The Legal Entity that will be the Applicant attest that it and/or its Parent is Bankrupt and/or there are proceedings pending or being contemplated by either entity or, to either entity's knowledge, threatened against the Applicant and/or its Parent which would result in either entity being or becoming Bankrupt.</p> <p>The Applicant has an opportunity to provide a document explaining the circumstance around the bankruptcy situation. SCE will review the documentation on a case by case basis and will a make decision based on available facts. SCE's review does not ensure that the Applicant will pass this criterion.</p>	<p>Fail</p>
<p>The Legal Entity that will be the Applicant attests that it and/or its parent company has not terminated a contract (either mutual termination or otherwise) with any California electric utility, prior to commercial operation date with any portion of the financial security retained by any California electric utility more than once within the previous 36 months.</p>	<p>Pass</p>
<p>The Legal Entity that will be the Applicant attests that it and/or its parent company has terminated a contract (either mutual termination or otherwise) with any California electric utility, prior to commercial operation date with any portion of the financial security retained by any California electric utility more than once within the previous 36 months.</p> <p>The Applicant has an opportunity to provide a document explaining the circumstance around the contract termination(s). SCE will review the documentation on a case by case basis and will make a decision based on available facts. SCE's review does not ensure that the Applicant will pass this criterion.</p>	<p>Fail</p>

TECHNOLOGY	ASSIGNED SCORE
Technical solution(s) are proven, commercially available technology (not in experimental, research, demonstration, or development stages).	Pass
Technical solution(s) are not proven, commercially available technology (not in experimental, research, demonstration, or development stages).	Fail
Technical solution(s) will provide Incremental Capacity per Ordering Paragraph 10 and Attachment A Section 2.8 of Decision 21-02-006.	Pass
Technical solution(s) will not provide Incremental Capacity per Ordering Paragraph 10 and Attachment A Section 2.8 of Decision 21-02-006.	Fail
<p>For interconnected DER only: Acknowledge that the Applicant will be required to have been tested and certified as an aggregator through SunSpec's Common Smart Inverter Profile (CSIP) certification process (https://sunspec.org/2030-5-csip/) for DERMS IEEE 2030.5 communications.</p> <p><i>Note that CSIP certification is not required at prescreening.</i></p>	Pass
<p>For interconnected DER only: Not able to acknowledge that the Applicant will be required to have been tested and certified as an aggregator through SunSpec's Common Smart Inverter Profile (CSIP) certification process (https://sunspec.org/2030-5-csip/) for DERMS IEEE 2030.5 communications.</p>	Fail

SAFETY	ASSIGNED SCORE
Applicant attests that a safety plan will be in place and followed for safe construction, installation, and operation of the project(s). Prior to commencement of any construction activities on the Site, safety plans will need to be reviewed by an independent engineer and documented in a report.	Pass
Applicant does not attest that a safety plan will be in place and followed for safe construction, installation, and operation of the project(s). Prior to commencement of any construction activities on the Site, safety plans will need to be reviewed by an independent engineer and documented in a report.	Fail